## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*  Dodger Armon.				2. Issuer Name and Ticker or Trading Symbol HashiCorp, Inc. [ HCP ]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
<u>Dadgar Armon</u>					_ [	- ,			<b>V</b>	Director Officer (give title	100	Owner	
	ast) (First) (Middle) /O HASHICORP, INC. D1 SECOND STREET, SUITE 700			3. Date of Earliest Transaction (Month/Day/Year) 09/03/2024						Officer (give title Other (specify below)  CHIEF TECHNOLOGY OFFICER			
101 SECOND	STREET, SUL	IE /00											
(Street) SAN FRANCISCO	N CA 94105		4.	4. If Amendment, Date of Original Filed (Month/Day/Year)					6. Indi Line)	1 4			
(City)	(State)	(Zip)											
		Table I -		ve Securities A	·	ed, E		-		1	l	I	
Date			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
					Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Class A Common Stock 09/03/2			09/03/2024		С		35,904	A	(1)	1,555,904	I	See footnote <sup>(2)</sup>	
Class A Comm	non Stock		09/03/2024		S <sup>(3)</sup>		35,904	D	\$33.8306(4)	1,520,000	I	See footnote <sup>(2)</sup>	
			<del> </del>	<del>-i</del>	-	1	1	_		<del>i                                    </del>		<del>                                     </del>	

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative 3A. Deemed Execution Date, if any 7. Title and Amount of Securities Underlying Derivative Security 11. Nature of Indirect Beneficial 2. Conversion 3. Transaction 5. Number 6. Date Exercisable and 8. Price of Derivative 9. Number of Ownership action Expiration Date (Month/Day/Year) derivative (Month/Day/Year Derivative Security (Instr. 5) Security or Exercise Code (Instr. Securities Form: Securities Beneficially Owned Following Reported Transaction(s) (Month/Day/Year) Direct (D) or Indirect (I) (Instr. 4) Price of Derivative (Instr. 3) 8) Securities (Instr. 3 and 4) Acquired (A) or Disposed (Instr. 4) Security of (D) (Instr 3, 4 and 5) (Instr. 4) Amount or Expiration Date Date Exercisable Shares Code (A) (D) Class B Class A See (1) (1) 35,904 09/03/2024 C 12,267,084 35,904 \$0 Commor Commo footnote(2) Stock Stock Class B Class A (1) (1) 480,916 480,916 D Stock Stock Class B Class A 2,057,036 (1) (1) 2.057.036 footnote<sup>(5)</sup> Stock Stock Class B Class A (1) (1) 601,328 601,328 Common Commo footnote(6) Stock Stock

## **Explanation of Responses:**

Class A Common Stock

Class A Common Stock

Class A Common Stock

- 1. Each share of Class B Common Stock is convertible into one share of Class A Common Stock at the option of the holder and has no expiration date.
- 2. The shares are held of record by the Armon Dadgar 2020 Charitable Trust.
- 3. The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on September 7, 2023.
- 4. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$33.77 to \$33.92, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price.
- 5. The shares are held of record by the Armon Memaran-Dadgar Living Trust for which the reporting person serves as trustee.
- 6. The shares are held of record by Black Swan III, LLC which the reporting person controls.

/s/ Paul Warenski, by power of attorney

09/04/2024

\*\* Signature of Reporting Person

Date

23.234

282,617

90,440

D

See

footnote<sup>(5)</sup> See

footnote(6)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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