SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

	FURIN	4		031		00					LACHA	NGL		1331014					
Section 16. Form 4 or Form 5 obligations may continue. See						Washington, D.C. 20549 INT OF CHANGES IN BENEFICIAL OWNERSHIP ad pursuant to Section 16(a) of the Securities Exchange Act of 1934										OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5			
transac contrac the pur securit to satis conditi	rchase or sale of	e pursuant to a r written plan for of equity r that is intended ve defense									ompany Act								
1. Name and Address of Reporting Person [*] Holmes Marc							er Name a iCorp,				Symbol	(Ch	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title Other (specify						
(Last)(First)(Middle)C/O HASHICORP, INC.101 SECOND STREET, SUITE 700							of Earlie: 2024	st Trans	action (N	Nonth	/Day/Year)		Officer (give title Other (specify below) Chief Marketing Officer						
(Street) SAN CA 94105 FRANCISCO					4.	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(S	tate)	(Zip)																
		Tal	ble I - No							, Dis		•		ly Owned					
1. Title of Security (Instr. 3) 2. Transac Date (Month/Da						Execution Dat		n Date,	Transaction C Code (Instr.		4. Securiti Disposed		ed (A) or tr. 3, 4 and	5. Amount of Securities Beneficially Owned Following Reported		Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code	v	Amount (A) or (D)		r Price	Transact	Transaction(s) (Instr. 3 and 4)			(11041.4)	
Class A Common Stock 12/20/						24		М		21,372	2 A	(1)	30	30,126		D			
Class A Common Stock 12/20/						2024		С		8,650 A		(2)	38	38,776		D			
Class A Common Stock 12/20/					20/202	2024		F		11,718 ⁽³⁾ D \$		\$34.2	2 27	27,058		D			
			Table II -								osed of, convertit			Owned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execution if any	3A. Deemed 4. Execution Date, Tr if any Cc (Month/Day/Year) 8)		action (Instr.	5. Number of Derivative		6. Date Exerc Expiration Da (Month/Day/N		isable and ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number derivative Securitie Beneficia Owned Following Reported Transacti	e s ally g	Ownershi Form: Direct (D) or Indirec (I) (Instr. 4	Beneficial Ownership t (Instr. 4)	
						v	(A)	(D)	Date Exercis	able	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)				
Restricted Stock Units	(1)	12/20/2024			М			5,938	(4)		(4)	Class A Common Stock	n 5,938	\$0	23,75	50	D		
Restricted Stock Units	(1)	12/20/2024			М			1,470	(5)		(5)	Class A Common Stock	n 1,470	\$ <mark>0</mark>	7,351		D		
Restricted Stock Units	(1)	12/20/2024			М			6,718	(6)	(6)		Class A Common Stock		\$0	60,46	53	D		
Restricted Stock Units	(1)	12/20/2024			М			7,246	(7)		(7)	Class A Common Stock	n 7,246	\$0	94,20)7	D	<u> </u>	
Restricted Stock Units	(8)	12/20/2024						8,650	0 (9)		(9)	Class B Common Stock		\$0	0		D		
Class B Common Stock	(2)	12/20/2024			М		8,650		(2)		(2)	Class A Common Stock	n 8,650	\$0) 8,650		D		
Class B	(2)	12/20/2024						0 650			(2)	Class A	8 650						

Stock

Explanation of Responses:

Stock

1. Each restricted stock unit, or RSU, represents a contingent right to receive one share of Class A Common Stock.

2. Each share of Class B Common Stock is convertible into one share of Class A Common Stock at the option of the holder and has no expiration date.

3. The reported shares were withheld to satisfy the reporting person's tax liability in connection with the vesting of RSUs.

4. The remaining RSUs vest in three equal quarterly installments beginning on March 20, 2025.

5. The remaining RSUs vest in five equal quarterly installments beginning on March 20, 2025.

6. The remaining RSUs vest in nine equal quarterly installments beginning on March 20, 2025.

7. The remaining RSUs vest in 13 equal quarterly installments beginning on March 20, 2025.

8. Each RSU represents a contingent right to receive one share of Class B Common Stock.

9. All of the RSUs vested on December 20, 2024.

/s/ Paul Warenski, by power of 12/23/2024

<u>attorney</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.